

Biocon Limited



Shareholding Pattern for the Quarter Ended on September 30, 2021

General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	September 30, 2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

(I)	Shareholding Pattern for the Quarter Ended on September 30, 2019 (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting (XIV) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	6	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	-	-	60.64	-	-	-	-	-	72,80,24,176		
(B)	Public	3,63,440	46,38,98,843	-	-	46,38,98,843	38.64	46,38,98,843	-	-	-	38.64	-	-	-	-	-	46,11,58,063		
(C)	Non-Promoter- Non-Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	1	86,76,981	-	-	86,76,981	0.72	86,76,981	-	-	-	0.72	-	-	-	-	-	86,76,981		
	TOTAL	3,63,447	1,20,06,00,000	-	-	1,20,06,00,000	100.0	1,20,06,00,000	1,20,06,00,000	100.00	-	-	100.00	-	-	-	-	1,19,78,59,220		

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X)	Number of Locked in securities (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)
								No. of Voting (XIV) Rights						No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)	
								Class X	Class Y	Total	Total % (A+B+C)							
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
1	INDIAN																	
(a)	Individual/HUF	2	47,70,34,096	-	-	47,70,34,096	39.73	47,70,34,096	-	47,70,34,096	39.75	-	39.75	-	-	-	-	47,70,34,096
	Kiran Mazumdar Shaw	1	47,57,25,384	-	-	47,57,25,384	39.62	47,57,25,384	-	47,57,25,384	39.64	-	39.64	-	-	-	-	47,57,25,384
	Yamini R Mazumdar	1	13,08,712	-	-	13,08,712	0.11	13,08,712	-	13,08,712	0.11	-	0.11	-	-	-	-	13,08,712
(d)	Any Other (Specify)			-	-				-			-		-	-	-	-	
	Sub-Total (A)(1)	2	47,70,34,096	-	-	47,70,34,096	39.73	47,70,34,096	-	47,70,34,096	39.75	-	39.75	-	-	-	-	47,70,34,096
2	FOREIGN	3	1,37,78,916	-	-	1,37,78,916	1.15	1,37,78,916	-	1,37,78,916	1.15	-	1.15	-	-	-	-	1,37,78,916
	J M M Shaw	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348
	Ravi Mazumdar	1	48,15,084	-	-	48,15,084	0.40	48,15,084	-	48,15,084	0.40	-	0.40	-	-	-	-	48,15,084
	Dev Mazumdar	1	5,18,484	-	-	5,18,484	0.04	5,18,484	-	5,18,484	0.04	-	0.04	-	-	-	-	5,18,484
	Any Other (Specify)			-	-				-			-		-	-	-	-	
	Glentec International	1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	19.76	-	-	-	-	23,72,11,164
	Sub-Total (A)(2)	4	25,09,90,080	-	-	25,09,90,080	20.91	25,09,90,080	-	25,09,90,080	20.91	-	20.91	-	-	-	-	25,09,90,080
	Total Shareholding(A)= (A)(1)+(A)(2)	6	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	72,80,24,176	60.64	-	60.64	-	-	-	-	72,80,24,176
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
1	Institutions																	
(a)	Mutual Funds	23	3,09,75,712	-	-	3,09,75,712	2.58	3,09,75,712	-	3,09,75,712	2.58	-	2.58	-	-	-	-	3,09,75,712
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	3	1,07,479	-	-	1,07,479	0.01	1,07,479	-	1,07,479	0.01	-	0.01	-	-	-	-	1,07,479
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	468	18,89,39,765	-	-	18,89,39,765	15.74	18,89,39,765	-	18,89,39,765	15.74	-	15.74	-	-	-	-	18,89,39,765
	AHAN- I LTD	1	1,99,48,374	-	-	1,99,48,374	1.66	1,99,48,374	-	1,99,48,374	1.66	-	1.66	-	-	-	-	1,99,48,374
(f)	Financial Institutions/ Banks	4	5,71,997	-	-	5,71,997	0.05	5,71,997	-	5,71,997	0.05	-	0.05	-	-	-	-	5,71,997
(g)	Insurance Companies	1	5,09,37,653	-	-	5,09,37,653	4.24	5,09,37,653	-	1,98,60,829	1.66	-	1.66	-	-	-	-	1,98,60,829
	LIFE INSURANCE CORPORATION OF INDIA AND OTHER FUNDS	1	5,09,37,653	-	-	5,09,37,653	4.24	5,09,37,653	-	5,09,37,653	4.24	-	4.24	-	-	-	-	5,09,37,653
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	10	67,29,972	-	-	67,29,972	0.56	67,29,972	-	67,29,972	0.56	-	0.56	-	-	-	-	67,29,972
	QUALIFIED INSTITUTIONAL BUYER	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(1)	509	27,82,62,578	-	-	27,82,62,578	23.18	27,82,62,578	-	27,82,62,578	23.18	-	23.18	-	-	-	-	27,82,62,578
2	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Non-institutions																	
(a(i))	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3,54,467	8,96,44,044	-	-	8,96,44,044	7.47	8,96,44,044	-	8,96,44,044	7.47	-	7.47	-	-	-	-	8,50,56,767
(a(ii))	Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	234	4,83,04,021	-	-	4,83,04,021	4.02	4,83,04,021	-	4,83,04,021	4.02	-	4.02	-	-	-	-	4,72,37,069
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	1.10	-	-	-	-	1,32,00,000
(b)	NBFCs registered with RBI	7	10,345	-	-	10,345	0.00	10,345	-	10,345	0.00	-	0.00	-	-	-	-	10,345
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	8,223	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,50,56,793

BENEFICIAL HOLDINGS UNDER MGT-4	3	55,43,200			55,43,200	0.46	55,43,200		55,43,200	0.46								55,43,200
TRUSTS	10	1,84,62,727			1,84,62,727	1.54	1,84,62,727		1,84,62,727	1.54								1,84,62,727
NON RESIDENT INDIANS	4,583	43,01,039			43,01,039	0.36	43,01,039		43,01,039	0.36								43,01,039
CLEARING MEMBERS	136	4,98,946			4,98,946	0.04	4,98,946		4,98,946	0.04								4,98,946
NON RESIDENT INDIAN NON REPATRIABLE	2,370	18,22,762			18,22,762	0.15	18,22,762		18,22,762	0.15								18,22,668
BODIES CORPORATES	1,108	1,22,72,342			1,22,72,342	1.02	1,22,72,342		1,22,72,342	1.02								1,22,72,342
I E P F	1	1,10,022			1,10,022	0.01	1,10,022		1,10,022	0.01								1,10,022
FOREIGN NATIONAL INDIVIDUALS	12	46,66,817			46,66,817	0.39	46,66,817		46,66,817	0.39								30,80,213
Sub-Total (B)(3)	3,62,931	18,56,36,265	-	-	18,56,36,265	15.46	18,56,36,265	-	18,56,36,265	15.46	-	-	-	-	-	-	-	18,28,95,485
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	3,63,440	46,38,98,843	-	-	46,38,98,843	38.64	46,38,98,843	-	46,38,98,843	38.64	-	-	-	-	-	-	-	46,11,58,063

C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
-1	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(2)	Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA	1	86,76,981	-	-	86,76,981	0.72	86,76,981		86,76,981	0.72	-	0.72	-	-	-	-	86,76,981
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	1	86,76,981	-	-	86,76,981	0.72	86,76,981		86,76,981	0.72	-	0.72	-	-	-	-	86,76,981
	Total (A+B+C2)		1,20,06,00,000	-	-	1,20,06,00,000	100.00	1,20,06,00,000		1,20,06,00,000	100.00	-	100.00	-	-	-	-	1,19,78,59,220
	Total (A+B+C)	3,63,447	1,20,06,00,000	-	-	1,20,06,00,000	100.00	1,20,06,00,000		1,20,06,00,000	100.00	-	100.00	-	-	-	-	1,19,78,59,220
Disclosure of notes on shareholding pattern:																		

Name Mayank Verma
Designation Company Secretary and Compliance Officer
Place Bengaluru
Date October 13, 2021