

## Biocon Limited



### Shareholding Pattern for the Quarter Ended on March 31, 2023

#### General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31/03/2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

Category (I)	Shareholding Pattern for the Quarter Ended on 31/03/2023 (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (XII)= (VII)+(XI) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)				
								No of Voting (XIV) Rights			Total as a % of (A+B+C)				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of Total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)		
								Class eg: X	Class eg: Y	Total													No. (a)	As a % of total Shares held (b)
(A)	Promoter & Promoter Group	5	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	60.64	-	-	60.64	-	-	-	-	-	72,80,24,176	0	0	0			
(B)	Public	4,11,080	46,59,63,556	-	-	46,59,63,556	38.81	46,59,63,556	38.81	-	-	38.81	-	-	-	-	-	46,59,63,556	0	0	0			
(C)	Non-Promoter- Non-Public	1	66,12,268	-	-	66,12,268	0.55	66,12,268	0.55	-	-	0.55	-	-	-	-	-	66,12,268	0	0	0			
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
(C2)	Shares held by Employee Trusts	1	66,12,268	-	-	66,12,268	0.55	66,12,268	0.55	-	-	0.55	-	-	-	-	-	66,12,268	0	0	0			
	<b>TOTAL</b>	<b>4,11,086</b>	<b>1,20,06,00,000</b>	<b>-</b>	<b>-</b>	<b>1,20,06,00,000</b>	<b>100.0</b>	<b>1,20,06,00,000</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,19,78,62,846</b>	<b>0</b>	<b>0</b>	<b>0</b>			

Category (I)	Category of shareholder (II)	Entity type i.e. Promoter OR Promoter group entity (except promoter) (III)	PAN (IV)	Nos. of share holders (V)	No. of fully paid up equity shares held (VI)	No. of partly paid up equity shares held (VII)	No. of shares underlying DR (VIII)	Total Nos. shares held (IX) = (VI)+(VII)+ (VIII)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (X)	Number of Voting Rights held in each class of securities (XI)				No. of Shares Underlying Outstanding convertible securities including Warrants (XII)	Shareholding, as a % assuming full conversion of convertible securities (XIII)= (IX)+(XII)	Number of Locked in shares (XIV)		Number of Shares pledged or encumbered (XV)		Number of equity shares held in Demat Form (XVI)	Sub-categorization of shares (XVII)				
										No. of Voting (XIV) Rights			Total % (A+B+C)			No. (a)	As a % of Total Shares held (b)	No. (a)	As a % of Total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)		
										Class X	Class Y	Total												No. (a)	As a % of Total Shares held (b)
A	TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																								
1	INDIAN																								
(a)	Individual/HUF			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	39.66	-	-	-	-	47,61,36,622	0	0	0		
	Kiran Mazumdar Shaw	Promoter	XXXXXXXXXX	1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	39.66	-	-	-	-	47,61,36,622	0	0	0		
(d)	Any Other (Specify)			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	39.66	-	-	-	-	47,61,36,622	0	0	0		
	Sub-Total (A)(1)			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	39.66	-	-	-	-	47,61,36,622	0	0	0		
2	FOREIGN																								
	Individuals			3	1,46,76,390	-	-	1,46,76,390	1.22	1,46,76,390	-	1,46,76,390	1.22	-	1.22	-	-	-	-	1,46,76,390	0	0	0		
	John McCallum Marshall Shaw*	Promoter	XXXXXXXXXX	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348	0	0	0		
	Ravi Rasendra Mazumdar**	Promoter Group	XXXXXXXXXX	1	53,01,321	-	-	53,01,321	0.44	53,01,321	-	53,01,321	0.44	-	0.44	-	-	-	-	53,01,321	0	0	0		
	Dev Mazumdar	Promoter Group	XXXXXXXXXX	1	9,29,721	-	-	9,29,721	0.08	9,29,721	-	9,29,721	0.08	-	0.08	-	-	-	-	9,29,721	0	0	0		
	Any Other (Specify)			1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	19.76	-	-	-	-	23,72,11,164	0	0	0		
	Glentec International	Promoter	XXXXXXXXXX	1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	19.76	-	-	-	-	23,72,11,164	0	0	0		
	Sub-Total (A)(2)			4	25,18,87,554	-	-	25,18,87,554	20.98	25,18,87,554	-	25,18,87,554	20.98	-	20.98	-	-	-	-	25,18,87,554	0	0	0		
	Total Shareholding(A)=(A)(1)+(A)(2)			5	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	72,80,24,176	60.64	-	60.64	-	-	-	-	72,80,24,176	0	0	0		

\*Late John McCallum Marshall Shaw, promoter of the Company, passed away on October 24, 2022. 8,445,348 shares held by him shall be transmitted to his spouse after necessary compliance procedures.  
 \*\*Ravi Rasendra Mazumdar holds 5301321 shares jointly with Ms. Catherine Patricia Rosenberg (spouse)

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XII) = (VII)+(X)	Number of Locked in shares (XIII)		Number of equity shares held in Demat Form (XIV)	Sub-categorization of shares				
								No. of Voting (MV) Rights						No.(a)	As a % of Total Shares held (b)		No.(a)	As a % of Total Shares held (b)	Shareholding (No. of shares) under		
								Class X	Class Y	Total	Total % (A+B+C)								Sub-category (i)	Sub-category (ii)	Sub-category (iii)
<b>8</b>	<b>TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER</b>																				
<b>1</b>	<b>Institutions</b>																				
(a)	Mutual Funds	24	8,63,51,279	-	-	8,63,51,279	7.19	8,63,51,279	-	8,63,51,279	7.19	-	7.19	-	-	-	8,63,51,279	0	0	0	
	ICICI PRUDENTIAL BUSINESS CYCLE FUND	1	1,78,66,578	-	-	1,78,66,578	1.49	1,78,66,578	-	1,78,66,578	1.49	-	1.49	-	-	-	1,78,66,578	0	0	0	
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	1	1,64,22,962	-	-	1,64,22,962	1.37	1,64,22,962	-	1,64,22,962	1.37	-	1.37	-	-	-	1,64,22,962	0	0	0	
	MIRAE ASSET TAX SAVER FUND	1	2,35,82,631	-	-	2,35,82,631	1.96	2,35,82,631	-	2,35,82,631	1.96	-	1.96	-	-	-	2,35,82,631	0	0	0	
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
(c)	Alternate Investment Funds	4	2,59,406	-	-	2,59,406	0.02	2,59,406	-	2,59,406	0.02	-	0.02	-	-	-	2,59,406	0	0	0	
(d)	Banks	2	1,230	-	-	1,230	0.00	1,230	-	1,230	0.00	-	0.00	-	-	-	1,230	0	0	0	
(e)	Insurance Companies	5	5,62,01,276	-	-	5,62,01,276	4.68	5,62,01,276	-	5,62,01,276	4.68	-	4.68	-	-	-	5,62,01,276	0	0	0	
	LICI ULIP-GROWTH FUND	1	5,29,84,653	-	-	5,29,84,653	4.41	5,29,84,653	-	5,29,84,653	4.41	-	4.41	-	-	-	5,29,84,653	0	0	0	
(f)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
(g)	Asset Reconstruction Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
(h)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
(i)	NBFCs registered with RBI	4	6,797	-	-	6,797	0.00	6,797	-	6,797	0.00	-	0.00	-	-	-	6,797	0	0	0	
(j)	Other Financial Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
(k)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	
	<b>Sub-Total (B)(1)</b>	<b>39</b>	<b>14,28,19,988</b>	<b>-</b>	<b>-</b>	<b>14,28,19,988</b>	<b>11.90</b>	<b>14,28,19,988</b>	<b>-</b>	<b>14,28,19,988</b>	<b>11.90</b>	<b>-</b>	<b>11.90</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>14,28,19,988</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>2</b>	<b>Institutions (Foreign)</b>																				
(a)	Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investors (Category I)	226	11,46,96,726	-	-	11,46,96,726	9.55	11,46,96,726	-	11,46,96,726	9.55	-	9.55	-	-	-	11,46,96,726	0	0	0	
(e)	Foreign Portfolio Investors (Category II)	21	77,67,444	-	-	77,67,444	0.65	77,67,444	-	77,67,444	0.65	-	0.65	-	-	-	77,67,444	0	0	0	
(f)	Overseas Depositories (holding DRs)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(g)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	<b>Sub-Total (B)(2)</b>	<b>247</b>	<b>12,24,64,170</b>	<b>-</b>	<b>-</b>	<b>12,24,64,170</b>	<b>10.20</b>	<b>12,24,64,170</b>	<b>-</b>	<b>12,24,64,170</b>	<b>10.20</b>	<b>-</b>	<b>10.20</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>12,24,64,170</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>3</b>	<b>Central Government/ State Government(s)</b>																				
(a)	Central Government/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	State Government/ Governor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>4</b>	<b>Non-institutions</b>																				
(a)	Associate Companies/Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Directors and their relatives (excluding Independent Directors and nominee directors)	3	70,46,574	-	-	70,46,574	0.59	70,46,574	-	70,46,574	0.59	-	0.59	-	-	-	70,46,574	0	0	0	
(c)	Key Managerial Personnel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Relatives of Promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary' or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f)	Investor Education and Protection Fund (IEPF)	1	1,14,029	-	-	1,14,029	0.01	1,14,029	-	1,14,029	0.01	-	0.01	-	-	-	1,14,029	0	0	0	
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs.	3,96,217	9,62,02,566	-	-	9,62,02,566	8.01	9,62,02,566	-	9,62,02,566	8.01	-	8.01	-	-	-	9,62,02,566	0	0	0	
(h)	Resident individuals holding nominal share capital in excess of Rs. 2 lakhs.	246	6,23,52,643	-	-	6,23,52,643	5.19	6,23,52,643	-	6,23,52,643	5.19	-	5.19	-	-	-	6,23,52,643	0	0	0	
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	1.10	-	-	-	1,32,00,000	0	0	0	
(i)	Non Resident Indians	7,385	83,92,529	-	-	83,92,529	0.70	83,92,529	-	83,92,529	0.70	-	0.70	-	-	-	83,92,529	0	0	0	
(j)	Foreign Nationals	11	41,39,901	-	-	41,39,901	0.34	41,39,901	-	41,39,901	0.34	-	0.34	-	-	-	41,39,901	0	0	0	
(k)	Foreign Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(l)	Bodies Corporate	1,125	1,17,05,448	-	-	1,17,05,448	0.97	1,17,05,448	-	1,17,05,448	0.97	-	0.97	-	-	-	1,17,05,448	0	0	0	
(m)	Any Other (specify)	5,806	1,07,25,708	-	-	1,07,25,708	0.89	1,07,25,708	-	1,07,25,708	0.89	-	0.89	-	-	-	1,07,25,708	0	0	0	
	Clearing Members	72	2,74,932	-	-	2,74,932	0.02	2,74,932	-	2,74,932	0.02	-	0.02	-	-	-	2,74,932	0	0	0	
	HUF	5,723	45,19,779	-	-	45,19,779	0.38	45,19,779	-	45,19,779	0.38	-	0.38	-	-	-	45,19,779	0	0	0	
	Trusts	8	3,86,907	-	-	3,86,907	0.03	3,86,907	-	3,86,907	0.03	-	0.03	-	-	-	3,86,907	0	0	0	
	Beneficial Holdings under MGT-4	3	55,44,090	-	-	55,44,090	0.46	55,44,090	-	55,44,090	0.46	-	0.46	-	-	-	55,44,090	0	0	0	
	<b>Sub-Total (B)(3)</b>	<b>4,10,794</b>	<b>20,06,79,398</b>	<b>-</b>	<b>-</b>	<b>20,06,79,398</b>	<b>16.71</b>	<b>20,06,79,398</b>	<b>-</b>	<b>20,06,79,398</b>	<b>16.71</b>	<b>-</b>	<b>16.71</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>19,79,42,244</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>4,11,080</b>	<b>46,59,63,556</b>	<b>-</b>	<b>-</b>	<b>46,59,63,556</b>	<b>38.81</b>	<b>46,59,63,556</b>	<b>-</b>	<b>46,59,63,556</b>	<b>38.81</b>	<b>-</b>	<b>38.81</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>46,32,26,402</b>	<b>0</b>	<b>0</b>	<b>0</b>	

C															
TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER															
(-1)	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(-2)	Employee Benefit Trust/ Employee Welfare Trust (under SEBI (Share based Employee Benefit and Sweat Equity) Regulations, 2021)	1	66,12,268			66,12,268	0.55	66,12,268		66,12,268	0.55		0.55		66,12,268
<b>Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)</b>		<b>1</b>	<b>66,12,268</b>	<b>-</b>	<b>-</b>	<b>66,12,268</b>	<b>0.55</b>	<b>66,12,268</b>		<b>66,12,268</b>	<b>0.55</b>		<b>0.55</b>		<b>66,12,268</b>
<b>Total (A+B+C)</b>		<b>4,11,086</b>	<b>1,20,06,00,000</b>			<b>1,20,06,00,000</b>	<b>100.00</b>	<b>1,20,06,00,000</b>		<b>1,20,06,00,000</b>	<b>100.00</b>		<b>100.00</b>		<b>1,19,78,62,846</b>
<b>Total (A+B+C)</b>		<b>4,11,086</b>	<b>1,20,06,00,000</b>			<b>1,20,06,00,000</b>	<b>100.00</b>	<b>1,20,06,00,000</b>		<b>1,20,06,00,000</b>	<b>100.00</b>		<b>100.00</b>		<b>1,19,78,62,846</b>
Disclosure of notes on shareholding pattern:															

Table V - Statement showing details of Significant Beneficial Owners (SBOs)													
Sno	Details of the significant beneficial owner			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect					Date of creation/acquisition of significant beneficial interest	
	Name	PAN	Nationality	Name	PAN	Nationality	Shares	Voting Rights	Whistle blower of Rights on distributable dividend	Exercise of Control	Exercise of significance influence		
1	Kiran Maumdar Shree	XXXXXXXXXX	India	GENTEC INTERNATIONAL	XXXXXXXXXX	Mauritius	10.76	10.76	10.76	No	No	10/12/2022	

Table VI - Statement showing foreign ownership limits		
Particulars	Approved Limits (%)	Utilized Limits (%)
As on shareholding date	74	32.75
As on the end of previous 1st quarter	74	36.37
As on the end of previous 2nd quarter	74	37.64
As on the end of previous 3rd quarter	74	38.04
As on the end of previous 4th quarter	74	37.35

Name Mayank Verma  
Designation Company Secretary and Compliance Officer  
Place Bengaluru  
Date April 19, 2023