Biocon Limited



| Shareholding Pattern for the Quarter Ended on December 31, 2019 | | | | | | | | | | | | |
|--|-----------------------|--|--|--|--|--|--|--|--|--|--|--|
| General Information about the Company | | | | | | | | | | | | |
| Scrip code | 532523 | | | | | | | | | | | |
| NSE Symbol | BIOCON | | | | | | | | | | | |
| MSEI Symbol | NOT LISTED | | | | | | | | | | | |
| ISIN | INE376G01013 | | | | | | | | | | | |
| Name of the company | BIOCON LIMITED | | | | | | | | | | | |
| Whether company is SME | No | | | | | | | | | | | |
| Class of Security | Equity Shares | | | | | | | | | | | |
| Type of report | Quarterly | | | | | | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31/12/2019 | | | | | | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | | | | | | |

| S. No. | Particular | Yes/No |
|--------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |
| 8 | Whether the Listed Entity has significant beneficial owner? | Yes |

| Tabla I | Cummani | Statement | halding of | cnacified | cocurition |
|---------|---------|-----------|------------|-----------|------------|
| | | | | | |

| Category (I) | Shareholding Pattern for the Quarter Ended on September 30, 2019 (II) | | No of fully paid up | up equity | No. Of shares underlying Depositor y Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) No of Voting (XIV) Rights a % of | | | (IX) No of Voting (XIV) Rights Total as | | | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | g , as a % assuming full conversion of convertible securities ((XI)= (VII)+(X) As a % of (A+B+C2) | sssuming full Number of Conversion of Convertible ecurtities ((XI)= (XIII) (XIII) (XIII) (XIII) (XIII) (XIII) (XIII) (XIIII) (XIIII) (XIIII) (XIIII) (XIIIII) (XIIIIIIIIII | | Number of Shares or otherwise encur | Number of equity shares held in dematerialized form (XIV) | |
|--------------|--|----------|---------------------|--------------|---|---|--|---|---------------|----------------|---|---|------------------|--|--|---|---|--|--|----------------|
| | | BIOCON | | | | | | Class eg: X | Class eg:y | Total | (A+B+C) | | Warrants (Xi) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | 6 | 72,80,15,676 | | | 72,80,15,676 | 60.67 | 72,80,15,676 | | 72,80,15,676 | 60.67 | | | | 60.67 | - | - | | | 72,80,15,676 |
| (B) | Public | 2,06,720 | 45,67,91,874 | - | - | 45,67,91,874 | 38.07 | 45,67,91,874 | | 45,67,91,874 | 38.07 | - | - | - | 38.07 | - | - | - | - | 45,40,46,547 |
| (C) | Non- Promoter- Non- Public | | | - | - | - | | - | | - | | - | - | - | | - | - | - | - | |
| (C1) | Shares underlying DRs | - | - | - | - | - | NA | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (C2) | Shares held by Employee Trusts | 1 | 1,51,92,450 | - | | 1,51,92,450 | 1.27 | 1,51,92,450 | | 1,51,92,450 | 1.27 | - | - | - | 1.27 | - | - | - | - | 1,51,92,450 |
| | TOTAL | 2,06,727 | 1,20,00,00,000 | - | - | 1,20,00,00,000 | 100.0 | 1,20,00,00,000 | | 1,20,00,00,000 | 100.00 | | - | - | 100.00 | - | - | - | - | 1,19,72,54,673 |

| 1 | | | İ | l | | | | | | | | | | | | | | |
|--|---|--------------------------------------|----------------------|----------|------------|---|--|--------------------|------------------------------|---|--------------------|---|-------------------|------------------|---------------------------------------|--|-----------------------------|---|
| Category (I) | Category of shareholder (II) | Nos. of share holders (III) | No. of fully paid up | | shares | Total Nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII) | Number of Voting R | iights held i Voting (XIV | in each class of secur (IX) 7) Rights | | No. of Shares Underlying Outstandin g convertible securities including Warrants) (X) | full conversio | Number of shares | of Locked in | | d or | Number of equity shares held in Demat Form (XIV) |
| | | | | | | | | Class X | Class Y | Total | Total % (A+B+C) | | | No.(a) | As a % of Total Shares held (b) | | Total Shares held (b) | |
| | TABLE II – STATEMENT SHOWING SHAR | REHOLDING I | PATTERN OF THE PRO | OMOTER | R AND PROM | IOTER GROUP | | | | | | | | | | | | |
| | INDIAN | | , | | 1 | ı | | 1 | | | | | 1 | | | | | , |
| | Individual/HUF | 2 | 47,72,50,596 | - | - | 47,72,50,596 | 39.77 | 47,72,50,596 | - | 47,72,50,596 | 39.77 | - | 39.77 | - | - | - | - | 47,72,50,596 |
| | Kiran Mazumdar Shaw | 1 | 47,57,25,384 | - | - | 47,57,25,384 | 39.64 | 47,57,25,384 | - | 47,57,25,384 | | - | 39.64 | - | - | - | - | 47,57,25,384 |
| | Yamini R Mazumdar | 1 | 15,25,212 | - | - | 15,25,212 | 0.13 | 15,25,212 | - | 15,25,212 | 0.13 | - | 0.13 | - | - | - | - | 15,25,212 |
| (d) | Any Other (Specify) | | | - | - | - | - | - | - | | | - | | - | - | - | - | |
| | Sub-Total (A)(1) | 2 | 47,72,50,596 | - | - | 47,72,50,596 | 39.77 | 47,72,50,596 | - | 47,72,50,596 | 39.77 | - | 39.77 | - | - | - | - | 47,72,50,596 |
| 2 | FOREIGN | 3 | 1,35,53,916 | - | - | 1,35,53,916 | 1.13 | 1,35,53,916 | - | 1,35,53,916 | 1.13 | - | 1.13 | - | - | - | - | 1,35,53,916 |
| | J M M Shaw | 1 | 84,45,348 | | | 84,45,348 | 0.70 | 84,45,348 | | 84,45,348 | 0.70 | | 0.70 | - | - | - | - | 84,45,348 |
| | Ravi Mazumdar | 1 | 45,90,084 | | | 45,90,084 | 0.38 | 45,90,084 | - | 45,90,084 | 0.38 | - | 0.38 | - | - | - | - | 45,90,084 |
| | Dev Mazumdar | 1 | 5,18,484 | | | 5,18,484 | 0.04 | 5,18,484 | - | 5,18,484 | 0.04 | - | 0.04 | - | _ | - | - | 5,18,484 |
| _ | Any Other (Specify) | _ | 5/25/101 | | | 5,25,151 | | 0,20,101 | | 2,22,.01 | | | | | | | | 5,25,151 |
| | Glentec International | 1 | 23,72,11,164 | | | 23,72,11,164 | 19.77 | 23,72,11,164 | | 23,72,11,164 | 19.77 | | 19.77 | | | | | 23,72,11,164 |
| | Sub-Total (A)(2) | 4 | 25,07,65,080 | | | 25,07,65,080 | 20.90 | | | 25,07,65,080 | | | 20.90 | | | 1 | | 25,07,65,080 |
| | Shareholding(A)= (A)(1)+(A)(2) | 6 | 72,80,15,676 | - | - | 72,80,15,676 | 60.67 | 72,80,15,676 | - | 72,80,15,676 | 60.67 | - | 60.67 | - | - | - | - | 72,80,15,676 |
| | | | | | | | | | | | | | | | | | | |
| | TABLE III - STATEMENT SHOWING SHAF | REHOLDING | PATTERN OF THE PU | BLIC SHA | AREHOLDER | | | | | | | | | | | | | |
| | Institutions | 1 | 1 | | 1 | 1 | | 1 | | | | | | | 1 | | | |
| (a) | Mutual Funds | 19 | 4,51,57,905 | - | - | 4,51,57,905 | 3.76 | 4,51,57,905 | - | 4,51,57,905 | 3.76 | - | 3.76 | - | - | - | - | 4,51,57,905 |
| | RELIANCE CAPITAL TRUSTEE CO. LTD- A/C RELIANCE PHARMA FUND | 1 | 1,30,30,858 | | | 1,30,30,858 | 1.09 | 1,30,30,858 | - | 1,30,30,858 | 1.09 | - | 1.09 | - | - | - | - | 1,30,30,858 |
| | ICICI PRUDENTIAL REGULAR SAVINGS FUND | 1 | 1,27,38,800 | - | - | 1,27,38,800 | 1.06 | 1,27,38,800 | - | 1,27,38,800 | 1.06 | - | 1.06 | - | - | - | - | 1,27,38,800 |
| | Venture Capital Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Alternate Investment Funds | 1 | 34,600 | - | - | 34,600 | 0.00 | 34,600 | - | 34,600 | 0.00 | - | 0.00 | - | - | - | - | 34,600 |
| | Foreign Venture Capital Investors | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Foreign Portfolio Investors | 179 | 19,31,00,333 | - | - | 19,31,00,333 | 16.09 | 19,31,00,333 | - | 19,31,00,333 | 16.09 | - | 16.09 | - | - | - | - | 19,31,00,333 |
| | JUPITER INDIA FUND | 1 | 1,31,40,344 | | | 1,31,40,344 | 1.10 | 1,31,40,344 | | 1,31,40,344 | 1.10 | | 1.10 | | | | | 1,31,40,344 |
| | AHAN- I LTD | 1 | 1,99,48,374 | | | 1,99,48,374 | 1.66 | 1,99,48,374 | | 1,99,48,374 | 1.66 | | 1.66 | | | | | 1,99,48,374 |
| | Financial Institutions/ Banks | 6 | 1,60,06,633 | - | - | 1,60,06,633 | 1.33 | 1,60,06,633 | - | 1,60,06,633 | 1.33 | - | 1.33 | - | - | - | - | 1,60,06,633 |
| | LIFE INSURANCE CORPORATION OF INDIA | 1 | 1,39,78,809 | - | - | 1,39,78,809 | 1.16 | 1,39,78,809 | | 1,39,78,809 | 1.16 | | 1.16 | | | | | 1,39,78,809 |
| (g) | Insurance Companies | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Provident Funds/ Pension Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (i) | Any Other (specify) | | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | QUALIFIED INSTITUTIONAL BUYER | 8 | 53,75,201 | | | 53,75,201 | 0.45 | 53,75,201 | - | 53,75,201 | 0.45 | - | 0.45 | - | - | - | - | 53,75,201 |
| <u> </u> | Sub-Total (B)(1) | 213 | 25,96,74,672 | - | - | 25,96,74,672 | 21.64 | 25,96,74,672 | - | 25,96,74,672 | 21.64 | - | 21.64 | - | - | - | - | 25,96,74,672 |
| | Central Government/ State Government(s)/ President of India | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Sub-Total (B)(2) | | _ | - | | _ | _ | _ | _ | _ | _ | _ | _ | _ | - | + | <u> </u> | _ |
| | 345 TOTAL (D)(2) | _ | | | <u> </u> | | - | | | ļ <u>-</u> | | | | | | <u>. </u> | | |

| 3 | Non-institutions | ĺ | | | | l | ĺ | | | | - 1 | | | | | 1 | İ İ | 1 |
|-------------|--|----------|--------------|---|---|--------------|-------|--------------|---|--------------|-------|---|-------|---|---|---|-----|--------------|
| (a(i)) | Individuals -i. individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 2,00,075 | 8,35,33,020 | - | 1 | 8,35,33,020 | 6.96 | 8,35,33,020 | 1 | 8,35,33,020 | 6.96 | - | 6.96 | - | 1 | - | - | 8,35,03,735 |
| (a(ii)) | Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 241 | 5,34,86,927 | - | - | 5,34,86,927 | 4.46 | 5,34,86,927 | - | 5,34,86,927 | 4.46 | - | 4.46 | - | - | - | - | 5,33,91,947 |
| | ARUN SURESH CHANDAVARKAR | 1 | 1,32,00,000 | | | 1,32,00,000 | 1.10 | 1,32,00,000 | | 1,32,00,000 | 1.10 | | 1.10 | | | | | 1,32,00,000 |
| (b) | NBFCs registered with RBI | 7 | 15,570 | | - | 15,570 | 0.00 | 15,570 | - | 15,570 | 0.00 | - | 0.00 | - | - | - | - | 15,570 |
| (c) | Employee Trusts | - | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | - | | | | - | _ | | | _ | | | - | | - | _ | | _ |
| (e) | Any Other (specify) | 6,184 | 6,00,81,685 | | | 6,00,81,685 | 5.01 | 6,00,81,685 | | 6,00,81,685 | 5.01 | | 5.01 | | | | | 5,74,60,623 |
| | BENEFICIAL HOLDINGS UNDER MGT-4 | 5 | 55,74,200 | | | 55,74,200 | 0.46 | 55,74,200 | | 55,74,200 | 0.46 | | 0.46 | | | | | 55,74,200 |
| | TRUSTS | 12 | 2,15,09,395 | | | 2,15,09,395 | 1.79 | 2,15,09,395 | | 2,15,09,395 | 1.79 | | 1.79 | | | | | 2,15,09,395 |
| | NON RESIDENT INDIANS | 3,189 | 50,89,996 | | | 50,89,996 | 0.42 | 50,89,996 | | 50,89,996 | 0.42 | | 0.42 | | | | | 40,55,632 |
| | CLEARING MEMBERS | 219 | 12,20,415 | | | 12,20,415 | 0.10 | 12,20,415 | | 12,20,415 | 0.10 | | 0.10 | | | | | 12,20,415 |
| | NON RESIDENT INDIAN NON REPATRIABLE | 1,758 | 58,31,988 | | | 58,31,988 | 0.49 | 58,31,988 | | 58,31,988 | 0.49 | | 0.49 | | | | | 58,31,894 |
| | BODIES CORPORATES | 987 | 1,65,15,914 | | | 1,65,15,914 | 1.38 | 1,65,15,914 | | 1,65,15,914 | 1.38 | | 1.38 | | | | | 1,65,15,914 |
| | IEPF | 1 | 84,228 | | | 84,228 | 0.01 | 84,228 | | 84,228 | 0.01 | | 0.01 | | | | | 84,228 |
| | FOREIGN NATIONAL INDIVIDUALS | 13 | 42,55,549 | | | 42,55,549 | 0.35 | 42,55,549 | | 42,55,549 | 0.35 | | 0.35 | | | | | 26,68,945 |
| | Sub-Total (B)(3) | 2,06,507 | 19,71,17,202 | - | - | 19,71,17,202 | 16.43 | 19,71,17,202 | - | 19,71,17,202 | 16.43 | - | 16.43 | - | - | - | - | 19,43,71,875 |
| Total Publi | c Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | 2,06,720 | 45,67,91,874 | - | - | 45,67,91,874 | 38.07 | 45,67,91,874 | - | 45,67,91,874 | 38.07 | - | 38.07 | - | - | - | - | 45,40,46,547 |

| С | TABLE IV - STATEMENT SHOWING SHA | REHOLDING I | PATTERN OF THE NO | N-PROM | OTER AND | NON-PUBLIC SHAREH | IOLDER | | | | | | | | | | | |
|--------------|---|--------------|----------------------|-----------|----------------|-------------------|----------------|----------------|---|----------------|--------|---|--------|---|----------------|---|---|----------------|
| -1 | Custodian/DR Holder - Name of DR Holders (If Available) | - | - | - | - | - | - | = | - | - | - | - | - | - | - | - | - | - |
| -2 | Employee Benefit Trust (under SEBI (Sh | are based Er | nployee Benefit) Reန | gulations | , 2014) | | | | | | | | | | | | | |
| (2) | Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA | 1 | 1,51,92,450 | - | • | 1,51,92,450 | 1.27 | 1,51,92,450 | | 1,51,92,450 | 1.27 | | 1.27 | | - | | - | 1,51,92,450 |
| Total Non | Total Non-Promoter- Non Public Shareholding 1 1,51,92,450 - 1,51,92,450 1.27 | | | | 1,51,92,450 | | 1,51,92,450 | 1.27 | - | 1.27 | - | - | - | - | 1,51,92,450 | | | |
| | Total (A+B+C2) | | 1,20,00,00,000 | - | - | 1,20,00,00,000 | 100.00 | 1,20,00,00,000 | | 1,20,00,00,000 | 100.00 | - | 100.00 | - | - | - | - | 1,19,72,54,673 |
| | Total (A+B+C) 2,06,727 1,20,00,00,000 1,20,00,00,000 100.00 | | | | 1,20,00,00,000 | | 1,20,00,00,000 | 100.00 | - | 100.00 | - | - | - | - | 1,19,72,54,673 | | | |
| Disclosure o | Disclosure of notes on shareholding pattern: | | | | | | | | | | | | | | | | | |

Name Mayank Verma

Designation Company Secretary and Compliance Officer

Place Bengaluru
Date January 10, 2020