

Biocon Limited



Shareholding Pattern for the Quarter Ended on September 30, 2022

General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Half year
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	September 30, 2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

Category (I)	Shareholding Pattern for the Quarter Ended on 30/09/2022 (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Party paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying & Outstanding Warrants (XI)	No. of Shares Underlying Outstanding convertible securities and No. Of Warrants (XII) (a)	Shareholding % as a % assuming full conversion of convertible securities (XIII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)		
							No of Voting (XIV) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
							Class ex: X	Class ex: Y	Total													
(A)	Promoter & Promoter Group	5	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	72,80,24,176	60.64	-	-	-	-	-	72,80,24,176	0	0	0		
(B)	Public	3,69,810	46,50,59,904	-	-	46,50,59,904	38.74	46,50,59,904	-	46,50,59,904	38.74	-	-	-	-	-	46,23,19,894	0	0	0		
(C)	Non-Promoter- Non- Public	1	75,15,920	-	-	75,15,920	-	75,15,920	-	75,15,920	-	-	-	-	-	-	75,15,920	0	0	0		
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	1	75,15,920	-	-	75,15,920	0.63	75,15,920	-	75,15,920	0.63	-	-	-	-	-	75,15,920	0	0	0		
	TOTAL	3,69,816	1,20,06,00,000	-	-	1,20,06,00,000	100.0	1,20,06,00,000	-	1,20,06,00,000	100.00	-	-	-	-	-	1,19,78,59,990	0	0	0		

Category (I)	Category of shareholder (II)	Entity type i.e. Promoter OR Promoter group entity (except promoter) (III)	PAN (IV)	Nos. of shareholders (V)	No. of fully paid up equity shares held (VI)	No. of partly paid up equity shares held (VII)	No. of shares underlying DR (VIII)	Total Nos. shares held (IX) = (VI)+(VII)+(VIII) (X)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (XI)	Number of Voting Rights held in each class of securities (XII)			No. of Shares Underlying Outstanding convertible securities including Warrants (XIII) (a)	Shareholding % as a % assuming full conversion of convertible securities (XIV) = (IX)+(XIII) (XV)	Number of Locked in shares (XVI)		Number of Shares pledged or encumbered (XVII)		Number of equity shares held in Demat Form (XVIII)	Sub-categorization of shares (XIX)			
										No. of Voting (XIV) Rights					Total % (A+B+C)	No. (a)	As a % of Total Shares held (b)	No. (a)		As a % of Total Shares held (b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
										Class X	Class Y	Total											
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																						
1	INDIAN																						
(a)	Individual/HUF			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	-	-	-	47,61,36,622	0	0	0		
	Kiran Mazumdar Shaw	Promoter	XXXXXXXXXX	1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	-	-	-	47,61,36,622	0	0	0		
(d)	Any Other (Specify)			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	-	-	-	47,61,36,622	0	0	0		
	Sub-Total (A)(1)			1	47,61,36,622	-	-	47,61,36,622	39.66	47,61,36,622	-	47,61,36,622	39.66	-	-	-	-	47,61,36,622	0	0	0		
2	FOREIGN																						
	Individuals			3	1,46,76,390	-	-	1,46,76,390	1.22	1,46,76,390	-	1,46,76,390	1.22	-	-	-	-	1,46,76,390	0	0	0		
	John McCallum Marshall Shaw	Promoter	XXXXXXXXXX	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	-	-	-	84,45,348	0	0	0		
	Ravi Rasendra Mazumdar	Promoter Group	XXXXXXXXXX	1	53,01,321	-	-	53,01,321	0.44	53,01,321	-	53,01,321	0.44	-	-	-	-	53,01,321	0	0	0		
	Dev Mazumdar	Promoter Group	XXXXXXXXXX	1	9,29,721	-	-	9,29,721	0.08	9,29,721	-	9,29,721	0.08	-	-	-	-	9,29,721	0	0	0		
	Any Other (Specify)																						
	Glentec International	Promoter	XXXXXXXXXX	1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	-	-	-	23,72,11,164	0	0	0		
	Sub-Total (A)(2)			4	25,18,87,554	-	-	25,18,87,554	20.98	25,18,87,554	-	25,18,87,554	20.98	-	-	-	-	25,18,87,554	0	0	0		
	Total Shareholding(A)= (A)(1)+(A)(2)			5	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	72,80,24,176	60.64	-	-	-	-	72,80,24,176	0	0	0		

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (X)				No. of Shares Underlying Outstanding convertible securities including Warrants (XI)	Shareholding, as a % assuming full conversion of convertible securities (XII) = (VII)+(XI)	Number of Locked in shares (XIII)	Number of Shares pledged or encumbered (XIV)	Number of equity shares held in Demat Form (XV)	Sub-categorization of shares Shareholding (No. of shares) under						
								No. of Voting (XIV) Rights									No. (a)	As a % of Total Shares held (b)	No. (a)	As a % of Total Shares held (b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
								Class X	Class Y	Total	Total % (A+B+C)												
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																						
1	Institutions																						
(a)	Mutual Funds	20	3,85,47,156	-	-	3,85,47,156	3.21	3,85,47,156	-	3,85,47,156	3.21	-	-	-	3,85,47,156	0	0	0					
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(c)	Alternate Investment Funds	2	43,300	-	-	43,300	0.00	43,300	-	43,300	0.00	-	-	-	43,300	0	0	0					
(d)	Banks	1	1,200	-	-	1,200	0.00	1,200	-	1,200	0.00	-	-	-	1,200	0	0	0					
(e)	Insurance Companies	7	5,45,97,412	-	-	5,45,97,412	4.55	5,45,97,412	-	5,45,97,412	4.55	-	-	-	5,45,97,412	0	0	0					
(f)	LICI ULIP-GROWTH FUND	1	5,09,84,653	-	-	5,09,84,653	4.25	5,09,84,653	-	5,09,84,653	4.25	-	-	-	5,09,84,653	0	0	0					
(g)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	1,200	0	0	0					
(h)	Asset Reconstruction Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	5,09,84,653	0	0	0					
(i)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(j)	NBFCs registered with RBI	4	7,647	-	-	7,647	0.00	7,647	-	7,647	0.00	-	-	-	7,647	0	0	0					
(k)	Other Financial Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(l)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	50,52,459	0	0	0					
	Sub-Total (B)(1)	34	9,31,96,715	-	-	9,31,96,715	7.76	9,31,96,715	-	9,31,96,715	7.76	-	-	-	9,31,96,715	0	0	0					
2	Institutions (Foreign)																						
(a)	Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(b)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(c)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(d)	Foreign Portfolio Investors (Category I)	469	18,96,28,104	-	-	18,96,28,104	15.79	18,96,28,104	-	18,96,28,104	15.79	-	-	-	18,96,28,104	0	0	0					
	AROH EMERGING ASIA MASTER FUND	1	1,87,53,979	-	-	1,87,53,979	1.56	1,87,53,979	-	1,87,53,979	1.56	-	-	-	1,87,53,979	0	0	0					
	AHAN- I LTD	1	2,79,34,630	-	-	2,79,34,630	2.33	2,79,34,630	-	2,79,34,630	2.33	-	-	-	2,79,34,630	0	0	0					
(e)	Foreign Portfolio Investors (Category I)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(f)	Overseas Depositories (holding DRs)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(g)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
	Sub-Total (B)(2)	469	18,96,28,104	-	-	18,96,28,104	15.79	18,96,28,104	-	18,96,28,104	15.79	-	-	-	18,96,28,104	0	0	0					
3	Central Government/ State Government(s)																						
(a)	Central Government/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(b)	State Governor/ Governor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
4	Non-Institutions																						
(a)	Associate Companies/Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(b)	Directors and their relatives (excluding Independent Directors and nominee directors)	3	49,39,840	-	-	49,39,840	0.41	49,39,840	-	49,39,840	0.41	-	-	-	49,39,840	0	0	0					
(c)	Key Managerial Personnel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(d)	Relatives of Promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Prmoter Group' category)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary' or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(f)	Investor Education and Protection Fund (IEPF)	1	1,14,029	-	-	1,14,029	0.01	1,14,029	-	1,14,029	0.01	-	-	-	1,14,029	0	0	0					
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs.	3,55,612	8,31,65,922	-	-	8,31,65,922	6.93	8,31,65,922	-	8,31,65,922	6.93	-	-	-	8,31,42,134	0	0	0					
(h)	Resident individuals holding nominal share capital in excess of Rs. 2 lakhs.	222	6,17,18,535	-	-	6,17,18,535	5.14	6,17,18,535	-	6,17,18,535	5.14	-	-	-	6,16,23,555	0	0	0					
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	-	-	1,32,00,000	0	0	0					
(i)	Non Resident Indians	6,945	62,97,420	-	-	62,97,420	0.52	62,97,420	-	62,97,420	0.52	-	-	-	52,62,962	0	0	0					
(j)	Foreign Nationals	11	41,38,901	-	-	41,38,901	0.34	41,38,901	-	41,38,901	0.34	-	-	-	25,52,297	0	0	0					
(k)	Foreign Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(l)	Bodies Corporate	980	1,16,05,746	-	-	1,16,05,746	0.97	1,16,05,746	-	1,16,05,746	0.97	-	-	-	1,16,05,746	0	0	0					
(m)	Any Other (specify)	5,533	1,02,54,692	-	-	1,02,54,692	0.85	1,02,54,692	-	1,02,54,692	0.85	-	-	-	1,02,54,512	0	0	0					
	Clearing Members	124	4,39,726	-	-	4,39,726	0.04	4,39,726	-	4,39,726	0.04	-	-	-	4,39,726	0	0	0					
	HUF	5,396	38,83,628	-	-	38,83,628	0.32	38,83,628	-	38,83,628	0.32	-	-	-	38,83,448	0	0	0					
	Trusts	10	3,88,248	-	-	3,88,248	0.03	3,88,248	-	3,88,248	0.03	-	-	-	3,88,248	0	0	0					
	Beneficial Holdings under MGT-4	3	55,43,090	-	-	55,43,090	0.46	55,43,090	-	55,43,090	0.46	-	-	-	55,43,090	0	0	0					
	Sub-Total (B)(3)	3,69,307	18,22,35,085	-	-	18,22,35,085	15.18	18,22,35,085	-	18,22,35,085	15.18	-	-	-	17,94,95,075	0	0	0					
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	3,69,810	46,50,59,904	-	-	46,50,59,904	38.74	27,54,31,800	-	46,50,59,904	38.74	-	-	-	46,23,19,894	0	0	0					

C TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																
-(1)	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-(2)	Employee Benefit Trust/ Employee Welfare Trust (under SEBI (Share based Employee Benefit and Sweat Equity) Regulations, 2021)	1	75,15,920			75,15,920	0.63	75,15,920		75,15,920	0.63		0.63			75,15,920
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	75,15,920	-	-	75,15,920	0.63	75,15,920		75,15,920	0.63		0.63			75,15,920
Total (A+B+C2)		3,69,816	1,20,06,00,000			1,20,06,00,000	100.00	1,20,06,00,000		1,20,06,00,000	100.00		100.00			1,19,78,59,990
Total (A+B+C)		3,69,816	1,20,06,00,000			1,20,06,00,000	100.00	1,20,06,00,000		1,20,06,00,000	100.00		100.00			1,19,78,59,990
Disclosure of notes on shareholding pattern:																

Table V - Statement showing details of Significant Beneficial Owners (SBOs)													
Sno	Details of the significant beneficial owner			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect					Date of creation/acquisition of significant beneficial interest	
	Name	PAN	Nationality	Name	PAN	Nationality	Shares	Voting Rights	Whether by virtue of:		Exercise of significance influence		
1	John Maccalum Marshall Shaw	AAACR9796H	United Kingdom	GLENTEC INTERNATIONAL	AAOCC1487B	Mauritius	10.76	10.76	10.76	No	No	No	11.06.1999

Table VI- Statement showing foreign ownership limits		
Particulars	Approved Limits (%)	Utilized Limits (%)
As on shareholding date	74	36.27
As on the end of previous 1st quarter	74	36.74
As on the end of previous 2nd quarter	74	36.05
As on the end of previous 3rd quarter	74	36.48
As on the end of previous 4th quarter	74	36.24

Name Mayank Verma
Designation Company Secretary and Compliance Officer
Place Bengaluru
Date October 21, 2022