

Biocon Limited



Shareholding Pattern for the Quarter Ended on December 31, 2020

General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	December 31, 2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

(I) Category	(II) Shareholding Pattern for the Quarter Ended on September 30, 2019	(III) Nos. Of shareholders	(IV) No. of fully paid up equity shares held	(V) No. Of Partly paid-up equity shares held	(VI) No. Of shares underlying Depository Receipts	held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities				(X) No. Of Shares Underlying Outstanding convertible securities	(XI) No. of Shares Underlying Outstanding Warrants (Xi)	(a) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form
								No of Voting (XIV) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total										
(A)	Promoter & Promoter Group	6	72,80,24,176	-	-	72,80,24,176	60.67	72,80,24,176	-	72,80,24,176	60.67	-	-	60.67	-	-	-	-	72,80,24,176	
(B)	Public	2,64,482	46,02,40,883	-	-	46,02,40,883	38.35	46,02,40,883	-	46,02,40,883	38.35	-	-	38.35	-	-	-	-	45,74,96,292	
(C)	Non- Promoter- Non- Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-	-	
(C2)	Shares held by Employee Trusts	1	1,17,34,941	-	-	1,17,34,941	0.98	1,17,34,941	-	1,17,34,941	0.98	-	-	0.98	-	-	-	-	1,17,34,941	
	TOTAL	2,64,489	1,20,00,00,000	-	-	1,20,00,00,000	100.0	1,20,00,00,000	-	1,20,00,00,000	100.00	-	-	100.00	-	-	-	-	1,19,72,55,409	

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)
								No. of Voting (XIV) Rights			Total % (A+B+C)			No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)	
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
1	INDIAN																	
(a)	Individual/HUF	2	47,70,34,096	-	-	47,70,34,096	39.75	47,70,34,096	-	47,70,34,096	39.75	-	39.75	-	-	-	-	47,70,34,096
	Kiran Mazumdar Shaw	1	47,57,25,384	-	-	47,57,25,384	39.64	47,57,25,384	-	47,57,25,384	39.64	-	39.64	-	-	-	-	47,57,25,384
	Yamini R Mazumdar	1	13,08,712	-	-	13,08,712	0.11	13,08,712	-	13,08,712	0.11	-	0.11	-	-	-	-	13,08,712
(d)	Any Other (Specify)			-	-				-			-		-	-	-	-	
	Sub-Total (A)(1)	2	47,70,34,096	-	-	47,70,34,096	39.75	47,70,34,096	-	47,70,34,096	39.75	-	39.75	-	-	-	-	47,70,34,096
2	FOREIGN																	
	J M M Shaw	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348
	Ravi Mazumdar	1	48,15,084	-	-	48,15,084	0.40	48,15,084	-	48,15,084	0.40	-	0.40	-	-	-	-	48,15,084
	Dev Mazumdar	1	5,18,484	-	-	5,18,484	0.04	5,18,484	-	5,18,484	0.04	-	0.04	-	-	-	-	5,18,484
	Any Other (Specify)			-	-				-			-		-	-	-	-	
	Glentec International	1	23,72,11,164	-	-	23,72,11,164	19.77	23,72,11,164	-	23,72,11,164	19.77	-	19.77	-	-	-	-	23,72,11,164
	Sub-Total (A)(2)	4	25,09,90,080	-	-	25,09,90,080	20.92	25,09,90,080	-	25,09,90,080	20.92	-	20.92	-	-	-	-	25,09,90,080
	Total Shareholding(A)=(A)(1)+(A)(2)	6	72,80,24,176	-	-	72,80,24,176	60.67	72,80,24,176	-	72,80,24,176	60.67	-	60.67	-	-	-	-	72,80,24,176
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
1	Institutions																	
(a)	Mutual Funds	26	3,66,52,470	-	-	3,66,52,470	3.05	3,66,52,470	-	3,66,52,470	3.05	-	3.05	-	-	-	-	3,66,52,470
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TAX RELIEF	1	1,22,49,091	-	-	1,22,49,091	1.02	1,22,49,091	-	1,22,49,091	1.02	-	1.02	-	-	-	-	1,22,49,091
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	1	16,000	-	-	16,000	0.00	16,000	-	16,000	0.00	-	0.00	-	-	-	-	16,000
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	462	21,18,52,158	-	-	21,18,52,158	17.65	21,18,52,158	-	21,18,52,158	17.65	-	17.65	-	-	-	-	21,18,52,158
	AHAN- I LTD	1	1,99,48,374	-	-	1,99,48,374	1.66	1,99,48,374	-	1,99,48,374	1.66	-	1.66	-	-	-	-	1,99,48,374
	SOCIETE GENERALE	1	1,21,17,865	-	-	1,21,17,865	1.01	1,21,17,865	-	1,21,17,865	1.01	-	1.01	-	-	-	-	1,21,17,865
(f)	Financial Institutions/ Banks	4	3,00,797	-	-	3,00,797	0.03	3,00,797	-	3,00,797	0.03	-	0.03	-	-	-	-	3,00,797
(g)	Insurance Companies	1	2,72,69,065	-	-	2,72,69,065	1.66	2,72,69,065	-	1,98,60,829	1.66	-	1.66	-	-	-	-	1,98,60,829
	LIFE INSURANCE CORPORATION OF INDIA	1	2,72,69,065	-	-	2,72,69,065	2.27	2,72,69,065	-	2,72,69,065	2.27	-	2.27	-	-	-	-	2,72,69,065
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)			-	-				-			-		-	-	-	-	
	QUALIFIED INSTITUTIONAL BUYER	14	81,27,959	-	-	81,27,959	0.68	81,27,959	-	81,27,959	0.68	-	0.68	-	-	-	-	81,27,959
	Sub-Total (B)(1)	508	28,42,18,449	-	-	28,42,18,449	23.68	28,42,18,449	-	28,42,18,449	23.68	-	23.68	-	-	-	-	28,42,18,449
2	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Non-institutions																	

(a(i))	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	2,56,050	7,92,63,960	-	-	7,92,63,960	6.61	7,92,63,960	-	7,92,63,960	6.61	-	6.61	-	-	-	-	7,92,35,411
(a(ii))	Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	219	4,77,43,750	-	-	4,77,43,750	3.98	4,77,43,750	-	4,77,43,750	3.98	-	3.98	-	-	-	-	4,76,48,770
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000			1,32,00,000	1.10	1,32,00,000		1,32,00,000	1.10		1.10					1,32,00,000
(b)	NBFCs registered with RBI	6	7,171	-	-	7,171	0.00	7,171	-	7,171	0.00	-	0.00	-	-	-	-	7,171
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	7,699	4,90,07,553			4,90,07,553	4.08	4,90,07,553		4,90,07,553	4.08		4.08					4,63,86,491
	BENEFICIAL HOLDINGS UNDER MGT-4	3	55,43,800			55,43,800	0.46	55,43,800		55,43,800	0.46		0.46					55,43,800
	TRUSTS	13	1,90,49,483			1,90,49,483	1.59	1,90,49,483		1,90,49,483	1.59		1.59					1,90,49,483
	NON RESIDENT INDIANS	4,196	44,01,351			44,01,351	0.37	44,01,351		44,01,351	0.37		0.37					33,66,987
	CLEARING MEMBERS	256	27,74,639			27,74,639	0.23	27,74,639		27,74,639	0.23		0.23					27,74,639
	NON RESIDENT INDIAN NON REPATRIABLE	2,174	19,38,264			19,38,264	0.16	19,38,264		19,38,264	0.16		0.16					19,38,170
	BODIES CORPORATES	1,043	1,09,51,676			1,09,51,676	0.91	1,09,51,676		1,09,51,676	0.91		0.91					1,09,51,676
	I E P F	1	94,511			94,511	0.01	94,511		94,511	0.01		0.01					94,511
	FOREIGN NATIONAL INDIVIDUALS	13	42,53,829			42,53,829	0.35	42,53,829		42,53,829	0.35		0.35					26,67,225
	Sub-Total (B)(3)	2,63,974	17,60,22,434	-	-	17,60,22,434	14.67	17,60,22,434	-	17,60,22,434	14.67	-	14.67	-	-	-	-	17,32,77,843
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	2,64,482	46,02,40,883	-	-	46,02,40,883	38.35	46,02,40,883	-	46,02,40,883	38.35	-	38.35	-	-	-	-	45,74,96,292

C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
-1	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(2)	Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA	1	1,17,34,941	-	-	1,17,34,941	0.98	1,17,34,941		1,17,34,941	0.98	-	0.98	-	-	-	-	1,17,34,941
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	1,17,34,941	-	-	1,17,34,941	0.98	1,17,34,941		1,17,34,941	0.98	-	0.98	-	-	-	-	1,17,34,941
	Total (A+B+C)		1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000		1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,409
	Total (A+B+C)	2,64,489	1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000		1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,409
Disclosure of notes on shareholding pattern:																		

Name Mayank Verma
Designation Company Secretary and Compliance Officer
Place Bengaluru
Date January 7, 2021