

Biocon Limited



Shareholding Pattern for the Quarter Ended on September 30, 2020

General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	September 30, 2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

(I) Category	Shareholding Pattern for the Quarter Ended on September 30, 2019 (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VIII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting (XIV) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	6	72,80,19,176	-	-	72,80,19,176	60.67	72,80,19,176	-	72,80,19,176	60.67	-	-	-	-	-	-	72,80,19,176		
(B)	Public	2,48,909	45,96,90,873	-	-	45,96,90,873	38.31	45,96,90,873	-	45,96,90,873	38.31	-	-	-	-	-	-	45,69,46,142		
(C)	Non-Promoter- Non- Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	1	1,22,89,951	-	-	1,22,89,951	1.02	1,22,89,951	-	1,22,89,951	1.02	-	-	-	-	-	-	1,22,89,951		
	TOTAL	2,48,916	1,20,00,00,000	-	-	1,20,00,00,000	100.0	1,20,00,00,000	-	1,20,00,00,000	100.00	-	-	-	100.00	-	-	-	1,19,72,55,269	

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X)	Number of Locked in (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)
								No. of Voting (XIV) Rights						No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)	
								Class X	Class Y	Total	Total % (A+B+C)							
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
1	INDIAN																	
(a)	Individual/HUF	2	47,70,29,096	-	-	47,70,29,096	39.75	47,70,29,096	-	47,70,29,096	39.75	-	39.75	-	-	-	-	47,70,29,096
	Kiran Mazumdar Shaw	1	47,57,25,384	-	-	47,57,25,384	39.64	47,57,25,384	-	47,57,25,384	39.64	-	39.64	-	-	-	-	47,57,25,384
	Yamini R Mazumdar	1	13,03,712	-	-	13,03,712	0.11	13,03,712	-	13,03,712	0.11	-	0.11	-	-	-	-	13,03,712
(d)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	2	47,70,29,096	-	-	47,70,29,096	39.75	47,70,29,096	-	47,70,29,096	39.75	-	39.75	-	-	-	-	47,70,29,096
2	FOREIGN																	
	J M M Shaw	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348
	Ravi Mazumdar	1	48,15,084	-	-	48,15,084	0.40	48,15,084	-	48,15,084	0.40	-	0.40	-	-	-	-	48,15,084
	Dev Mazumdar	1	5,18,484	-	-	5,18,484	0.04	5,18,484	-	5,18,484	0.04	-	0.04	-	-	-	-	5,18,484
	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Glentec International	1	23,72,11,164	-	-	23,72,11,164	19.77	23,72,11,164	-	23,72,11,164	19.77	-	19.77	-	-	-	-	23,72,11,164
	Sub-Total (A)(2)	4	25,09,90,080	-	-	25,09,90,080	20.92	25,09,90,080	-	25,09,90,080	20.92	-	20.92	-	-	-	-	25,09,90,080
	Total Shareholding(A)= (A)(1)+(A)(2)	6	72,80,19,176	-	-	72,80,19,176	60.67	72,80,19,176	-	72,80,19,176	60.67	-	60.67	-	-	-	-	72,80,19,176
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
1	Institutions																	
(a)	Mutual Funds	26	4,72,64,466	-	-	4,72,64,466	3.94	4,72,64,466	-	4,72,64,466	3.94	-	3.94	-	-	-	-	4,72,64,466
	ICICI PRUDENTIAL MIDCAP SELECT ETF	1	1,33,40,896	-	-	1,33,40,896	1.11	1,33,40,896	-	1,33,40,896	1.11	-	1.11	-	-	-	-	1,33,40,896
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TAX RELIEF	1	1,27,47,191	-	-	1,27,47,191	1.06	1,27,47,191	-	1,27,47,191	1.06	-	1.06	-	-	-	-	1,27,47,191
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	3	1,23,635	-	-	1,23,635	0.01	1,23,635	-	1,23,635	0.01	-	0.01	-	-	-	-	1,23,635
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	435	20,02,48,921	-	-	20,02,48,921	16.69	20,02,48,921	-	20,02,48,921	16.69	-	16.69	-	-	-	-	20,02,48,921
	AHAN- I LTD	1	1,99,48,374	-	-	1,99,48,374	1.66	1,99,48,374	-	1,99,48,374	1.66	-	1.66	-	-	-	-	1,99,48,374
(f)	Financial Institutions/ Banks	3	1,83,090	-	-	1,83,090	0.02	1,83,090	-	1,83,090	0.02	-	0.02	-	-	-	-	1,83,090
(g)	Insurance Companies	1	1,98,60,829	-	-	1,98,60,829	1.66	1,98,60,829	-	1,98,60,829	1.66	-	1.66	-	-	-	-	1,98,60,829
	LIFE INSURANCE CORPORATION OF INDIA	1	1,98,60,829	-	-	1,98,60,829	1.66	1,98,60,829	-	1,98,60,829	1.66	-	1.66	-	-	-	-	1,98,60,829
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	QUALIFIED INSTITUTIONAL BUYER	13	80,51,125	-	-	80,51,125	0.67	80,51,125	-	80,51,125	0.67	-	0.67	-	-	-	-	80,51,125
	Sub-Total (B)(1)	480	27,57,32,066	-	-	27,57,32,066	22.98	27,57,32,066	-	27,57,32,066	22.98	-	22.98	-	-	-	-	27,57,32,066
2	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Non-institutions																	
(a(i))	Individuals -i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	2,40,511	8,01,10,062	-	-	8,01,10,062	6.68	8,01,10,062	-	8,01,10,062	6.68	-	6.68	-	-	-	-	8,00,81,373

(a)(ii)	Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	231	4,85,82,276	-	-	4,85,82,276	4.05	4,85,82,276	-	4,85,82,276	4.05	-	4.05	-	-	-	-	4,84,87,296
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	1.10	-	-	-	-	1,32,00,000
(b)	NBFCs registered with RBI	6	12,985	-	-	12,985	0.00	12,985	-	12,985	0.00	-	0.00	-	-	-	-	12,985
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	7,681	5,52,53,484	-	-	5,52,53,484	4.60	5,52,53,484	-	5,52,53,484	4.60	-	4.60	-	-	-	-	5,26,32,422
	BENEFICIAL HOLDINGS UNDER MGT-4 TRUSTS	3	55,43,800	-	-	55,43,800	0.46	55,43,800	-	55,43,800	0.46	-	0.46	-	-	-	-	55,43,800
	NON RESIDENT INDIANS	4,081	45,38,366	-	-	45,38,366	0.38	45,38,366	-	45,38,366	0.38	-	0.38	-	-	-	-	35,04,002
	CLEARING MEMBERS	335	29,23,585	-	-	29,23,585	0.24	29,23,585	-	29,23,585	0.24	-	0.24	-	-	-	-	29,23,585
	NON RESIDENT INDIAN NON REPATRIABLE	2,153	40,55,936	-	-	40,55,936	0.34	40,55,936	-	40,55,936	0.34	-	0.34	-	-	-	-	40,55,842
	BODIES CORPORATES	1,082	1,22,73,233	-	-	1,22,73,233	1.02	1,22,73,233	-	1,22,73,233	1.02	-	1.02	-	-	-	-	1,22,73,233
	I E P F	1	94,511	-	-	94,511	0.01	94,511	-	94,511	0.01	-	0.01	-	-	-	-	94,511
	FOREIGN NATIONAL INDIVIDUALS	13	42,54,436	-	-	42,54,436	0.35	42,54,436	-	42,54,436	0.35	-	0.35	-	-	-	-	26,67,832
	Sub-Total (B)(3)	2,48,429	18,39,58,807	-	-	18,39,58,807	15.33	18,39,58,807	-	18,39,58,807	15.33	-	15.33	-	-	-	-	18,12,14,076
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	2,48,909	45,96,90,873	-	-	45,96,90,873	38.31	45,96,90,873	-	45,96,90,873	38.31	-	38.31	-	-	-	-	45,69,46,142

C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
-1	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(2)	Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA	1	1,22,89,951	-	-	1,22,89,951	1.02	1,22,89,951	-	1,22,89,951	1.02	-	1.02	-	-	-	-	1,22,89,951
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	1,22,89,951	-	-	1,22,89,951	1.02	1,22,89,951	-	1,22,89,951	1.02	-	1.02	-	-	-	-	1,22,89,951
	Total (A+B+C2)		1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000	-	1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,269
	Total (A+B+C)	2,48,916	1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000	-	1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,269
	Disclosure of notes on shareholding pattern:																	

Name Mayank Verma
Designation Company Secretary and Compliance Officer
Place Bengaluru
Date October 9, 2020