

# Biocon Limited



## Shareholding Pattern for the Quarter Ended on September 30, 2023

### General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30/09/2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	NA
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

Category	Shareholding Pattern for the Quarter Ended on 30/09/2023	Nos. Of shareholders	No. of fully paid up equity shares held	No. Of Partly paid-up equity shares held	No. Of shares underlying Depository Receipts	Total nos. shares held (VII) - (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI) (a)	Shareholding % as a % assuming full conversion of convertible securities (X)+(VII)+(XI) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares					
								(IX)			Total as a % of (A+B+C)				No. of Shares Underlying Outstanding Warrants (XI) (a)	No. of Shares Locked in shares (XII)	(XIII)			Number of equity shares held in dematerialized form (XIV)	Shareholding (No. of shares) under				
								No of Voting (XIV) Rights									No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
								Class es: X	Class es: y	Total															
(A)	Promoter & Promoter Group	4	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	72,80,24,176	60.64	-	-	-	-	-	-	72,80,24,176	0	0	0					
(B)	Public	4,15,041	46,78,59,426	-	-	46,78,59,426	38.97	46,78,59,426	38.97	-	-	-	-	-	-	-	46,78,59,426	0	0	0					
(C)	Non-Promoter-Non-Public	1	47,16,398	-	-	47,16,398	0.39	47,16,398	0.39	-	-	-	-	-	-	-	47,16,398	0	0	0					
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
(C2)	Shares held by Employee Trusts	1	47,16,398	-	-	47,16,398	0.39	47,16,398	0.39	-	-	-	-	-	-	-	47,16,398	0	0	0					
	<b>TOTAL</b>	<b>4,15,046</b>	<b>1,20,06,00,000</b>	<b>-</b>	<b>-</b>	<b>1,20,06,00,000</b>	<b>100.0</b>	<b>1,20,06,00,000</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,19,94,68,625</b>	<b>0</b>	<b>0</b>	<b>0</b>					

Category (i)	Category of shareholder	Entity type Promoter OR Promoter group entity (except promoter)	Ia. PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DR	Total Nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR 1957)	Number of Voting Rights held in each class of securities				No. Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding % as a % assuming full conversion of convertible securities (X)+(VII)+(X)	Number of Locked in shares		Number of Shares pledged or encumbered		Number of equity shares held in Demat Form	Sub-categorization of shares		
										(IX)			Total % (A+B+C)			No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
										No. of Voting (XIV) Rights													
										Class X	Class Y	Total											
<b>A</b>	<b>TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP</b>																						
<b>1</b>	<b>INDIAN</b>																						
(a)	Individual/HUF			1	48,45,81,970	-	-	48,45,81,970	40.36	48,45,81,970	-	48,45,81,970	40.36	-	-	-	-	48,45,81,970	0	0	0		
	Kiran Mazumdar Shaw*	Promoter	XXXXXXXXXX	1	48,45,81,970	-	-	48,45,81,970	40.36	48,45,81,970	-	48,45,81,970	40.36	-	-	-	-	48,45,81,970	0	0	0		
(d)	Any Other (Specify)			1	48,45,81,970	-	-	48,45,81,970	40.36	48,45,81,970	-	48,45,81,970	40.36	-	-	-	-	48,45,81,970	0	0	0		
	Sub-Total (A)(1)			1	48,45,81,970	-	-	48,45,81,970	40.36	48,45,81,970	-	48,45,81,970	40.36	-	-	-	-	48,45,81,970	0	0	0		
<b>2</b>	<b>FOREIGN</b>																						
	Individuals			2	62,31,042	-	-	62,31,042	0.52	62,31,042	-	62,31,042	0.52	-	-	-	-	62,31,042	0	0	0		
	Ravi Rasendra Mazumdar**	Promoter Group	XXXXXXXXXX	1	53,01,321	-	-	53,01,321	0.44	53,01,321	-	53,01,321	0.44	-	-	-	-	53,01,321	0	0	0		
	Dev Mazumdar	Promoter Group	XXXXXXXXXX	1	9,29,721	-	-	9,29,721	0.08	9,29,721	-	9,29,721	0.08	-	-	-	-	9,29,721	0	0	0		
	Any Other (Specify)			1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	-	-	-	23,72,11,164	0	0	0		
	Genetic International	Promoter	XXXXXXXXXX	1	23,72,11,164	-	-	23,72,11,164	19.76	23,72,11,164	-	23,72,11,164	19.76	-	-	-	-	23,72,11,164	0	0	0		
	Sub-Total (A)(2)			3	24,34,42,206	-	-	24,34,42,206	20.28	24,34,42,206	-	24,34,42,206	20.28	-	-	-	-	24,34,42,206	0	0	0		
	Total Shareholding(A)-(A)(1)+(A)(2)			4	72,80,24,176	-	-	72,80,24,176	60.64	72,80,24,176	-	72,80,24,176	60.64	-	-	-	-	72,80,24,176	0	0	0		

\*Late John McCallum Marshali Shaw, promoter of the Company, passed away on October 24, 2022. 8,445,348 shares held by him were transmitted to Ms. Kiran Mazumdar Shaw on 22.09.2023. Accordingly, there are now 4 promoter/promoter group instead of 5 as reported for quarter ended June 30, 2023.

\*\*Ravi Rasendra Mazumdar, promoter group of the Company, holds 5301321 shares jointly with Ms. Catherine Patricia Rosenberg (pouse).

Category (i)	Category of shareholder (ii)	Nos. of share holders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid up equity shares held (v)	No. of shares underlying DR (vi)	Total Nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (viii)	Number of Voting Rights held in each class of securities (ix)				No. of Shares Underlying Outstanding convertible securities including Warrants (x)	Shareholding as a % of total no. of convertible securities (xi) = (vii)+(x)	Number of Locked in shares (xii)		Number of Shares pledged or encumbered (xiii)		Number of equity shares held in Demat Form (xiv)	Sub-categorization of shares under Shareholding (No. of shares) under		
								No. (a)	As a % of Total Shares held (b)	No. (a)	As a % of Total Shares held (b)			Sub-category (i)	Sub-category (ii)	Sub-category (iii)					
																	No. of Voting (xiv) Rights				
																	Class X		Class Y	Total	Total % (A+B+C)
<b>B TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER</b>																					
<b>1 Institutions</b>																					
(a)	Mutual Funds	20	9,91,26,567	-	-	9,91,26,567	8.26	9,91,26,567	-	9,91,26,567	8.26	-	-	-	-	9,91,26,567	0	0	0		
	ICICI PRUDENTIAL EQUITY ARBITRAGE FUND	1	1,47,07,048	-	-	1,47,07,048	1.22	1,47,07,048	-	1,47,07,048	1.22	-	-	-	-	1,47,07,048	0	0	0		
	SBI HEALTHCARE OPPORTUNITIES FUND	1	2,14,42,071	-	-	2,14,42,071	1.79	2,14,42,071	-	2,14,42,071	1.79	-	-	-	-	2,14,42,071	0	0	0		
	MIRAE ASSET LARGE CAP FUND	1	2,17,16,979	-	-	2,17,16,979	1.81	2,17,16,979	-	2,17,16,979	1.81	-	-	-	-	2,17,16,979	0	0	0		
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(c)	Alternate Investment Funds	6	7,48,884	-	-	7,48,884	0.06	7,48,884	-	7,48,884	0.06	-	-	-	-	7,48,884	0	0	0		
(d)	Banks	1	1,200	-	-	1,200	0.00	1,200	-	1,200	0.00	-	-	-	-	1,200	0	0	0		
(e)	Insurance Companies	17	6,92,09,825	-	-	6,92,09,825	5.76	6,92,09,825	-	6,92,09,825	5.76	-	-	-	-	6,92,09,825	0	0	0		
	LICI LUP-GROWTH FUND	1	5,29,84,653	-	-	5,29,84,653	4.41	5,29,84,653	-	5,29,84,653	4.41	-	-	-	-	5,29,84,653	0	0	0		
(f)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(g)	Asset Reconstruction Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(h)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(i)	NBFCs registered with RBI	4	9,097	-	-	9,097	0.00	9,097	-	9,097	0.00	-	-	-	-	9,097	0	0	0		
(j)	Other Financial Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(k)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
	<b>Sub-Total (B)(1)</b>	<b>48</b>	<b>16,90,95,573</b>	<b>-</b>	<b>-</b>	<b>16,90,95,573</b>	<b>14.08</b>	<b>16,90,95,573</b>	<b>-</b>	<b>16,90,95,573</b>	<b>14.08</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>16,90,95,573</b>	<b>0</b>	<b>0</b>	<b>0</b>		
<b>2 Institutions (Foreign)</b>																					
(a)	Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(b)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(c)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(d)	Foreign Portfolio Investors (Category I)	202	8,74,97,353	-	-	8,74,97,353	7.29	8,74,97,353	-	8,74,97,353	7.29	-	-	-	-	8,74,97,353	0	0	0		
(e)	Foreign Portfolio Investors (Category II)	18	81,07,845	-	-	81,07,845	0.68	81,07,845	-	81,07,845	0.68	-	-	-	-	81,07,845	0	0	0		
(f)	Overseas Depositories (holding DRs)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(g)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
	<b>Sub-Total (B)(2)</b>	<b>220</b>	<b>9,56,05,198</b>	<b>-</b>	<b>-</b>	<b>9,56,05,198</b>	<b>7.96</b>	<b>9,56,05,198</b>	<b>-</b>	<b>9,56,05,198</b>	<b>7.96</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>9,56,05,198</b>	<b>0</b>	<b>0</b>	<b>0</b>		
<b>3 Central Government/ State Government(s)</b>																					
(a)	Central Government/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(b)	State Government/ Governor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
<b>4 Non-institutions</b>																					
(a)	Associate Companies/Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(b)	Directors and their relatives (excluding independent Directors and nominee directors)	3	70,46,574	-	-	70,46,574	0.59	70,46,574	-	70,46,574	0.59	-	-	-	-	70,46,574	0	0	0		
(c)	Key Managerial Personnel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(d)	Relatives of Promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary' or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(f)	Investor Education and Protection Fund (IEPF)	1	1,18,701	-	-	1,18,701	0.01	1,18,701	-	1,18,701	0.01	-	-	-	-	1,18,701	0	0	0		
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs.	3,99,214	9,88,13,034	-	-	9,88,13,034	8.23	9,88,13,034	-	9,88,13,034	8.23	-	-	-	-	9,87,94,502	0	0	0		
(h)	Resident individuals holding nominal share capital in excess of Rs. 2 lakhs.	240	6,46,48,886	-	-	6,46,48,886	5.38	6,46,48,886	-	6,46,48,886	5.38	-	-	-	-	6,28,88,733	0	0	0		
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000	-	-	1,32,00,000	1.10	1,32,00,000	-	1,32,00,000	1.10	-	-	-	-	1,32,00,000	0	0	0		
(i)	Non Resident Indians	8,055	76,09,123	-	-	76,09,123	0.63	76,09,123	-	76,09,123	0.63	-	-	-	-	76,09,029	0	0	0		
(j)	Foreign Nationals	9	28,06,494	-	-	28,06,494	0.23	28,06,494	-	28,06,494	0.23	-	-	-	-	24,54,078	0	0	0		
(k)	Foreign Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0		
(l)	Bodies Corporate	1,184	1,07,65,154	-	-	1,07,65,154	0.90	1,07,65,154	-	1,07,65,154	0.90	-	-	-	-	1,07,65,154	0	0	0		
(m)	Any Other (specify)	6,067	1,13,50,689	-	-	1,13,50,689	0.95	1,13,50,689	-	1,13,50,689	0.95	-	-	-	-	1,13,50,509	0	0	0		
	Clearing Members	14	7,44,962	-	-	7,44,962	0.06	7,44,962	-	7,44,962	0.06	-	-	-	-	7,44,962	0	0	0		
	HUF	6,042	46,74,530	-	-	46,74,530	0.39	46,74,530	-	46,74,530	0.39	-	-	-	-	46,74,350	0	0	0		
	Trusts	8	3,87,107	-	-	3,87,107	0.03	3,87,107	-	3,87,107	0.03	-	-	-	-	3,87,107	0	0	0		
	Beneficial Holdings under MGT-4	3	55,44,090	-	-	55,44,090	0.46	55,44,090	-	55,44,090	0.46	-	-	-	-	55,44,090	0	0	0		
	<b>Sub-Total (B)(3)</b>	<b>4,14,773</b>	<b>20,31,58,655</b>	<b>-</b>	<b>-</b>	<b>20,31,58,655</b>	<b>16.92</b>	<b>20,31,58,655</b>	<b>-</b>	<b>20,31,58,655</b>	<b>16.92</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>20,10,27,280</b>	<b>0</b>	<b>0</b>	<b>0</b>		
	<b>Total Public Shareholding: (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>4,15,041</b>	<b>46,78,59,426</b>	<b>-</b>	<b>-</b>	<b>46,78,59,426</b>	<b>38.97</b>	<b>46,78,59,426</b>	<b>-</b>	<b>46,78,59,426</b>	<b>38.97</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>46,57,26,651</b>	<b>0</b>	<b>0</b>	<b>0</b>		

C TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER															
(1)	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Employee Benefit Trust/ Employee Welfare Trust (under SEBI (Share based Employee Benefit and Sweat Equity) Regulations, 2021)	1	47,16,398			47,16,398	0.39	47,16,398		47,16,398	0.39		0.39		47,16,398
<b>Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)</b>		<b>1</b>	<b>47,16,398</b>	<b>-</b>	<b>-</b>	<b>47,16,398</b>	<b>0.39</b>	<b>47,16,398</b>		<b>47,16,398</b>	<b>0.39</b>		<b>0.39</b>		<b>47,16,398</b>
<b>Total (A+B+C)</b>		<b>4,15,046</b>	<b>1,20,06,00,000</b>			<b>1,20,06,00,000</b>	<b>100.00</b>	<b>1,20,06,00,000</b>		<b>1,20,06,00,000</b>	<b>100.00</b>		<b>100.00</b>		<b>1,19,84,68,625</b>
<b>Total (A+B+C)</b>		<b>4,15,046</b>	<b>1,20,06,00,000</b>			<b>1,20,06,00,000</b>	<b>100.00</b>	<b>1,20,06,00,000</b>		<b>1,20,06,00,000</b>	<b>100.00</b>		<b>100.00</b>		<b>1,19,84,68,625</b>
Disclosure of notes on shareholding pattern:															

Sno	Details of the significant beneficial owner				Table V - Statement showing details of Significant Beneficial Owners (SBOs)								Date of creation/expiration of significant beneficial interest
	Details of the registered owner				Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect								
Name	PAN	Nationality	Name	PAN	Nationality	Shares	Vesting Rights	Signs or distribution preference	Exercise of Control	Exercise of significant influence			
1	Kiran Manoj Kumar Shrivastava	XXXXXXXXXX	India	GENTEC INTERNATIONAL	XXXXXXXXXX	Mauritius	19.76	19.76	19.76	No	No	15/12/2022	

Table VI: Statement showing foreign ownership limits		
Particulars	Approved Limits (%)	Utilized Limits (%)
As on shareholding date	74	29.64
As on the end of previous 1st quarter	74	32.07
As on the end of previous 2nd quarter	74	32.75
As on the end of previous 3rd quarter	74	35.37
As on the end of previous 4th quarter	74	37.64

Name Mayank Verma  
Designation Company Secretary and Compliance Officer  
Place Bangalore  
Date October 17, 2023